

**Policy Title:** Ethical Behavior Policy

**Policy Reference:** PL.165

**Attaches To: (see page 1 and 2, Object(s): Job Descriptions, Etc, Applied To)**

**Description:**

Employee Code of Ethics

Prohibited Conduct

No current employee or officer, as specified, shall:

1. Disqualification from Acting on Vanguard Academy Business.

- a. Engage in any transaction or activity, which is, or would to a reasonable person appear to be, in conflict with or incompatible with the proper discharge of official duties, or which impairs, or would to a reasonable person appear to impair, the employee's independence of judgment or action in the performance of official duties and fail to disqualify him or herself from official action in those instances where conflict occurs;
- b. Have a financial or other private interest, direct or indirect, personally or through a member of his or her immediate family, in any matter upon which the employee is required to act in the discharge of his or her official duties, and fail to disqualify him or herself from acting or participating;
- c. Fail to disqualify him or herself from acting on any transaction which involves Vanguard Academy and any person who is, or at any time within the preceding twelve (12) month period has been a private client of his or hers, or of his or her firm or partnership;
- d. Have a financial or other private interest, direct or indirect, personally or through a member of his or her immediate family, in any contract or transaction to which Vanguard Academy may be a party, and fails to disclose such interest to the appropriate authority prior to the formation of the contract or the time Vanguard Academy enters into the transaction; provided, that this paragraph shall not apply to any contract awarded through the public bid process in accordance with applicable law.

2. Improper Use of Official Position.

- a. Use his or her official position for a purpose that is, or would to a reasonable person appear to be primarily for the private benefit of the employee, rather than primarily for the benefit of Vanguard Academy; or to achieve a private gain or an exemption from duty or responsibility for the employee or any other person;
- b. Use or permit the use of any person, funds, or property under his or her official control, direction, or custody, or of any Vanguard Academy funds or property, for a purpose which is, or to a reasonable person would appear to be, for something other than a legitimate purpose.
- c. Except in the course of official duties, assist any person in any transaction where the employee's assistance is, or to a reasonable person would appear to be, enhanced by that employee's position with Vanguard Academy; provided that this subsection shall not apply to: any employee appearing on his or her own behalf or representing himself or herself as to any matter in which he or she has a proprietary interest, if not otherwise prohibited by ordinance;
- d. Regardless of prior disclosure thereof, have a financial interest, direct or indirect, personally or through a member of his or her immediate family, in a business entity doing or seeking to do business with Vanguard Academy, and influence or attempt to influence the selection of, or the conduct of business with that business or entity.

### 3. Accept Gifts or Loans.

- a. Ask for or receive, directly or indirectly, any compensation, gift, gratuity, or thing of value, or promise thereof, for performing or for omitting or deferring the performance of any official duty; except that the following shall be allowed:
- i. Unsolicited flowers, plants, and floral arrangements;
  - ii. Unsolicited advertising or promotional items of nominal value, such as pens and notepads;
  - iii. Unsolicited token or awards of appreciation in the form of a plaque, trophy, desk item, wall memento, or similar item;
  - iv. Unsolicited food items given to a department when the contents are shared among employees and the public;
  - v. Unsolicited items received for the purpose of evaluation or review provided the officer or employee has no personal beneficial interest in the eventual use or acquisition of the item by Vanguard Academy;
  - vi. Information material, publications, or subscriptions related to the recipient's performance of official duties;
  - vii. Food and beverages consumed at hosted receptions where attendance is related to official duties;
  - viii. Meals, beverages, and lodging associated with retreats or other meetings where the official serves as a representative, designee or is otherwise assigned to another organization or entity from Vanguard Academy;
  - ix. Travel costs, lodging, and tuition costs associated with Vanguard Academy sanctioned training or education when not provided by a private entity under contract with the Vanguard Academy;
  - x. Admission to, and the cost of food and beverages consumed at, events sponsored by or in conjunction with a civic, charitable, governmental, or community organization and other officials or employees of similar agencies are in attendance;
  - xi. Unsolicited gifts from dignitaries from another entity or other jurisdiction that are intended to be personal in nature;
  - xii. Campaign contributions; and
  - xiii. Unsolicited gifts with an aggregate economic value of \$50.00 or less from a single source in a calendar year received either directly or indirectly by the official or employee.

### 4. Disclose Privileged Information.

Disclose or use any privileged or proprietary information gained by reason of his or her official position for the immediate or anticipated personal gain or benefit of the employee or any other person or entity; provided, that nothing shall prohibit the disclosure or use of information which is a matter of public knowledge, or which is available to the public on request.

### 5. Financial or Beneficial Interest in Transactions.

Regardless of prior disclosure an employee or officer may not participate in or benefit from (personally or through his or her family) a contract or agreement where that employee or officer acted as an agent of Vanguard Academy. This includes receiving compensation, gratuity or other benefit from an interested party of an agreement or contract with Vanguard Academy.

### 6. Nepotism.

- a. Violate Utah Code § 52-3, which prohibits employment of relatives, with few exceptions.

### 7. Misuse of Public Resources or Property.

- a. Violate Utah Code § 76-8-4, which delineates the unlawful use of public funds and destruction of

property, including records.

#### 8. Outside Employment.

- a. Retain secondary employment outside of Vanguard Academy employment, which, as determined by the Board of Directors, and according to Utah Administrative Code R477-9-2:
- i. Interferes with an employee's performance.
  - ii. Conflicts with the interests of Vanguard Academy or the State of Utah.
  - iii. Gives reason for criticism or suspicion of conflicting interests or duties.

#### 9. Political Activity.

- a. Except as otherwise provided by law:
- i. The partisan political activity, political opinion, or political affiliation of an applicant for a position with Vanguard Academy may not provide a basis for denying employment to the applicant.
  - ii. A Vanguard Academy officer's or employee's partisan political activity, political opinion, or political affiliation may not provide the basis for the officer or employee's employment, promotion, disciplinary action, demotion, or dismissal.
  - iii. A Vanguard Academy employee may not engage in political campaigning or solicit political contributions during hours of employment.
  - iv. A Vanguard Academy officer or employee may not use Vanguard Academy assets while engaged in campaigning or other political activity.
  - v. A Vanguard Academy officer or employee may not directly or indirectly coerce, command, or advise another Vanguard Academy officer or employee to pay, lend, or contribute part of the officer's or employee's salary or compensation, or anything else of value to a political party, committee, organization, agency, or person for political purposes.
  - vi. A Vanguard Academy officer or employee may not attempt to make another officer or employee's employment status dependent on the officers or employee's support or lack of support of a political party, affiliation, opinion, committee, organization, agency, or person engaged in political activity.
- b. A Vanguard Academy employee who has filed a declaration of candidacy may:
- i. be given a leave of absence for the period between the primary election and the general election; and
  - ii. Use any vacation or other leave available to engage in campaign activities.
- c. Neither the filing of a declaration of candidacy nor a leave of absence under this section may be used as the basis for an adverse employment action, including discipline and termination, against the employee.
- d. Nothing in this chapter shall be construed to:
- i. prohibit a Vanguard Academy officer or employee's voluntary contribution to a party or candidate of the officer or employee's choice; or
  - ii. Permit a Vanguard Academy officer or employee partisan political activity that is prohibited under federal law.
- e. No Vanguard Academy officer or employee shall solicit or participate in soliciting any assessment, subscription, or contribution to any political party during working hours on the premises of any Vanguard Academy property.
- f. No Vanguard Academy officer or employee shall promise any appointment to any position with Vanguard Academy as a reward for any political activity.

#### 10. Fair and Equal Treatment.

- a. No person shall be appointed to, removed from, or in any way favored or discriminated against with respect to any appointive public office because of such person's race, color, age, religion, sex, national origin, or functional limitation as defined by applicable state or federal laws, if otherwise qualified for the

position or office.

b. No Vanguard Academy officer or employee shall grant any special consideration, treatment or advantage to any citizen beyond that which is available to every other citizen.

11. Prohibited Conduct After Leaving Vanguard Academy:

a. No former employee shall, during the period of one year after leaving Vanguard Academy office or employment:

i. Disclose or use any privileged or proprietary information gained by reason of his/her Vanguard Academy employment for his/her gain or anticipated gain, or for the gain or anticipated gain of any person, unless the information is a matter of public knowledge or is available to the public on request;

ii. Assist any person in proceedings involving an agency of Vanguard Academy with which he/she was previously employed, involving a matter in which he or she was officially involved, participated or acted in the course of duty;

iii. Represent any person as an advocate in any matter in which the former employee was officially involved while a Vanguard Academy employee;

iv. Participate as a competitor in any competitive selection process for a Vanguard Academy contract in which he or she assisted Vanguard Academy in determining the project or work to be done or the process to be used.

**Purpose:**

To ensure all Vanguard officials and employees conduct themselves in an ethical manor.

**Scope:**

**Policy Type:** Company Position Other \_\_\_\_\_

**Job Description(s) Applied To:**

Reference	Job Description (JD) Title(s)
JD: 221	Company Wide

**Template Object(s) Applied To:**

Reference	Template (TP) Title(s)
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**Revision History:**

Revision #	Date of change	Description of change	Authorized by
1.1	N/A	Launched Object	N/A